#### **PATENT**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gene A. Bornzin; Eric Falkenberg; Mark W. Kroll;

and Gabriel A. Mouchawar

Serial No.: Unknown Art Unit: Unknown

Filed: Herewith Examiner: Unknown

For: IMPLANTABLE CARDIAC DEFIBRILLATION ASSEMBLY

INCLUDING A SELF-EVALUATION SYSTEM AND METHOD

**Docket No.:** A03P1072

### **INFORMATION DISCLOSURE STATEMENT**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. **EV100889010US** in an Envelope addressed to:

MAIL STOP - Patent Application

Commissioner for Patents

P.O. Box 1450

November 5, 2003

Alexandria, VA 22313-1450,

MAIL STOP - PATENT APPLICATION Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56, 1.97, and 1.98, Applicants submit herewith Form PTO-1449 listing documents believed relevant to the above-identified application. It is respectfully requested that these documents be made of record and that an initialed copy of Form PTO-1449 be returned to the undersigned. A copy of each document is enclosed unless otherwise indicated.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional references material to the examination of this application do not exist, or that the citations listed on Form PTO-1449 necessarily constitute prior art to the application.

Respectfully submitted,

11/5/03

Date

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Form PTO-1449 (modified)	Attorney Docket No A03P1072	o. Serial No. Unassigned
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT		Gene A. Bornzin; Eric Falkenberg; Mark W. Kroll; and Gabriel Mouchawar
(Use several sheets if necessary)	Filing Date: Herewith	Group Art Unit: Unassigned

# U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Name	Class / Subclass	Filing Date
	5,237,991	08/24/1993	Baker, Jr. et al.	607/27	11/19/1991
	5,564,422	10/15/1996	Chen et al.	128/697	04/03/1995
	6,216,036	04/10/2001	Jenkins et al.	607/27	02/17/1999
	6,292,697 B1	09/18/2001	Roberts	607/27	02/15/2000
	6,345,200 B1	02/05/2002	Mouchawar et al.	607/8	02/08/2000
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### Examiner

### **Date Considered**

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(Use several sheets if necessary)	Filing Date: Herewith		Group Art Unit: Unassigned	

# **PUBLICATIONS / OTHER ART**

Examiner Initials	Citation
	Wharton, J.M, MD et al., "Cardiac Potential and Potential Fields Generated by Single, Combined, and Sequential Shocks During Ventricular Defibrillation," CIRCULATION (1992); pp 1510-1523.
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